

Information submitted via Top Hat Plan Statement Online Filing System to U. S.
Department of Labor under 29 CFR 2520.104-23

Date Completed: 12/1/2016 12:35 PM EST

Confirmation Number: 1971

Amended Confirmation Number:

Employer Information

Name: OVIS LLC
Address: 905 Country Club Road
City: Ojai State: CA Zip Code: 93023

Plan Administrator Information

Name: Principle Financial Group
Address: PO Box 8963
City: Wilmington State: DE Zip Code: 19899
Phone: 9198811608
Email: Terwilliger.Lisa@principal.com

Plan Information

Employer maintains the plan or plans below primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.

ID:1 Plan Name: OVIS NQDC Plan Number of Employees: 16

Additional Information:



U. S. Department of Labor
Employee Benefits Security Administration
Washington, DC 20210

This message confirms that the Department of Labor's (DOL's) Employee Benefits Security Administration (EBSA) has received the filing of your Top Hat Plan Statement. The confirmation code for your filing is 1971. When correcting errors to your filing, please use this code in your amended statement. This communication does not mean that DOL has made a determination that you are eligible to file under DOL regulation 29 CFR 2520.104-23.