

Information submitted via Top Hat Plan Statement Online Filing System to U. S.  
Department of Labor under 29 CFR 2520.104-23

Date Completed: 11/22/2016 3:24 PM EST

Confirmation Number: 1937

Amended Confirmation Number:

Employer Information

Name: Gulf Winds International, Inc.

Address: 411 Brisbane

City: Houston State: TX Zip Code: 77061

Plan Administrator Information

Name: Todd Stewart

Address: 411 Brisbane

City: Houston State: TX Zip Code: 77061

Phone: 7137474909

Email: tstewart@gwii.com

Plan Information

Employer maintains the plan or plans below primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.

|      |            |   |                           |
|------|------------|---|---------------------------|
| ID:1 | Plan Name: | Gulf Winds International Phantom Stock Plan | Number of<br>Employees: 1 |
|------|------------|---|---------------------------|

Additional Information:



U. S. Department of Labor  
Employee Benefits Security Administration  
Washington, DC 20210

This message confirms that the Department of Labor's (DOL's) Employee Benefits Security Administration (EBSA) has received the filing of your Top Hat Plan Statement. The confirmation code for your filing is 1937. When correcting errors to your filing, please use this code in your amended statement. This communication does not mean that DOL has made a determination that you are eligible to file under DOL regulation 29 CFR 2520.104-23.