

Information submitted via Top Hat Plan Statement Online Filing System to U. S.
Department of Labor under 29 CFR 2520.104-23

Date Completed: 3/10/2026 10:07 AM EST

Confirmation Number: 16180

Amended Confirmation Number:

Employer Information

Name: Southwestern Financial Corporation
Address: 2625 West Geronimo Place, Suite 350
City: Chandler
State: AZ
Zip Code: 85225

Plan Administrator Information

Name: Tom Norsworthy/CFO and Treasurer
Address: 2625 West Geronimo Place, Suite 350
City: Chandler
State: AZ
Zip Code: 85225
Phone:
Email: tnorsworthy@mahoneygroup.com

Plan Information

Employer maintains the plan or plans below primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.

ID:1	Plan Name:	MahoneyONE Deferred Compensation and Long Term Incentive Plan	Number of Employees: 3
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Additional Information:



U. S. Department of Labor
Employee Benefits Security Administration
Washington, DC 20210

This message confirms that the Department of Labor's (DOL's) Employee Benefits Security Administration (EBSA) has received the filing of your Top Hat Plan Statement. The confirmation code for your filing is 16180. When correcting errors to your filing, please use this code in your amended statement. This communication does not mean that DOL has made a determination that you are eligible to file under DOL regulation 29 CFR 2520.104-23.