

Information submitted via Top Hat Plan Statement Online Filing System to U. S.
Department of Labor under 29 CFR 2520.104-23

Date Completed: 1/6/2026 3:23 PM EST

Confirmation Number: 15874

Amended Confirmation Number:

Employer Information

Name: Caltech Employees Federal Credit Union
Address: 528 Foothill Blvd
City: La Canada-Flintridge
State: CA
Zip Code: 91011

Plan Administrator Information

Name: Caltech Employees Federal Credit Union
Address: 528 Foothill Blvd
City: La Canada-Flintridge
State: CA
Zip Code: 91011
Phone: 8189524444
Email: vanessa.walpole@swmlp.com

Plan Information

Employer maintains the plan or plans below primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.

ID:1	Plan Name:	Supplemental Executive Retirement Plan	Number of Employees: 1
ID:2	Plan Name:	Amendment to Supplemental Executive Retirement Plan	Number of Employees: 1

Additional Information:



U. S. Department of Labor
Employee Benefits Security Administration
Washington, DC 20210

This message confirms that the Department of Labor's (DOL's) Employee Benefits Security Administration (EBSA) has received the filing of your Top Hat Plan Statement. The confirmation code for your filing is 15874. When correcting errors to your filing, please use this code in your amended statement. This communication does not mean that DOL has made a determination that you are eligible to file under DOL regulation 29 CFR 2520.104-23.