

Information submitted via Top Hat Plan Statement Online Filing System to U. S.
Department of Labor under 29 CFR 2520.104-23

Date Completed: 11/26/2025 1:20 PM EST

Confirmation Number: 15706

Amended Confirmation Number:

Employer Information

Name: UCLA Investment Company
Address: 12400 Wilshire Blvd., Suite 1000
City: Los Angeles
State: CA
Zip Code: 90025

Plan Administrator Information

Name: UCLA Investment Company
Address: 12400 Wilshire Blvd., Suite 1000
City: Los Angeles
State: CA
Zip Code: 90025
Phone: 3109546083
Email: larsen@uclainvestments.org

Plan Information

Employer maintains the plan or plans below primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.

ID:1	Plan Name:	UCLA Investment Company 457(b) Plan	Number of Employees: 7
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Additional Information:

The plan was originally effective January 1, 2011. The company believed a top hat plan statement was timely filed but is unable to locate that statement in its records so is re-submitted via the DFVC Program.



U. S. Department of Labor
Employee Benefits Security Administration
Washington, DC 20210

This message confirms that the Department of Labor's (DOL's) Employee Benefits Security Administration (EBSA) has received the filing of your Top Hat Plan Statement. The confirmation code for your filing is 15706. When correcting errors to your filing, please use this code in your amended statement. This communication does not mean that DOL has made a determination that you are eligible to file under DOL regulation 29 CFR 2520.104-23.