

Information submitted via Top Hat Plan Statement Online Filing System to U. S.
Department of Labor under 29 CFR 2520.104-23

Date Completed: 8/11/2025 10:41 AM EST

Confirmation Number: 15212

Amended Confirmation Number:

Employer Information

Name: Rubicon, LLC
Address: 470 James Street
City: New Haven
State: CT
Zip Code: 06513

Plan Administrator Information

Name: Rubicon, LLC
Address: 470 James Street
City: New Haven
State: CT
Zip Code: 06513
Phone: 8602147037
Email: srohan@rubiconIT.com

Plan Information

Employer maintains the plan or plans below primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.

ID:1	Plan Name:	Rubicon, LLC Phantom Unit Plan	Number of Employees: 1
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Additional Information:



U. S. Department of Labor
Employee Benefits Security Administration
Washington, DC 20210

This message confirms that the Department of Labor's (DOL's) Employee Benefits Security Administration (EBSA) has received the filing of your Top Hat Plan Statement. The confirmation code for your filing is 15212. When correcting errors to your filing, please use this code in your amended statement. This communication does not mean that DOL has made a determination that you are eligible to file under DOL regulation 29 CFR 2520.104-23.