

Information submitted via Top Hat Plan Statement Online Filing System to U. S.  
Department of Labor under 29 CFR 2520.104-23

Date Completed: 12/27/2024 12:20 PM EST

Confirmation Number: 14221

Amended Confirmation Number:

Employer Information

Name: Baker Newman & Noyes, LLC  
Address: 280 Fore Street  
City: Portland  
State: ME  
Zip Code: 04101

Plan Administrator Information

Name: Baker Newman & Noyes, LLC  
Address: 280 Fore Street  
City: Portland  
State: ME  
Zip Code: 04101  
Phone:  
Email: dhurlburt@bnn CPA.com

Plan Information

Employer maintains the plan or plans below primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.

ID:1	Plan Name:	Baker Newman Noyes, LLC Deferred Compensation Plan	Number of Employees: 19
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Additional Information:

This deferred compensation plan is maintained for select principals and senior executives of the employer, a group that is a very small minority of the total workforce and that is comprised exclusively of management and highly-compensated employees.



U. S. Department of Labor  
Employee Benefits Security Administration  
Washington, DC 20210

This message confirms that the Department of Labor's (DOL's) Employee Benefits Security Administration (EBSA) has received the filing of your Top Hat Plan Statement. The confirmation code for your filing is 14221. When correcting errors to your filing, please use this code in your amended statement. This communication does not mean that DOL has made a determination that you are eligible to file under DOL regulation 29 CFR 2520.104-23.