

Information submitted via Top Hat Plan Statement Online Filing System to U. S.
Department of Labor under 29 CFR 2520.104-23

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Confirmation Number: 14053

Amended Confirmation Number:

Employer Information

Name: Tufts University
Address: 419 Boston Avenue
City: Medford
State: MA
Zip Code: 02155

Plan Administrator Information

Name: Robbyn Dewar, Benefits Programs and Compliance Director, Human Resources, Tufts University
Address: 80 George Street
City: Medford
State: MA
Zip Code: 02155
Phone: 6176277000
Email: Robbyn.Dewar@tufts.edu

Plan Information

Employer maintains the plan or plans below primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.

ID:1	Plan Name:	Tufts University Deferred Compensation Plan for Select Employees	Number of Employees: 5
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Additional Information:

The Tufts University Deferred Compensation Plan for Select Employees is an unfunded plan that is maintained for the purpose of providing deferred compensation to a group of select management and highly compensated employees within the meaning of Sections 201(2), 301(a)(3), and 401(a)(1) of ERISA. The Plan is intended to be exempt from Internal Revenue Code Sections 409A and 457(f) by complying with the short-term deferral exception described in Treasury Regulation Section 1.409A-1(b)(4) and proposed Treasury Regulation Section 1.457-12(d)(2) and the Plan does not provide for deferrals of compensation within the meaning of Treasury Regulation Section 1.409A-1(b)(1) and proposed Treasury Regulation Section 1.457-12(d). However, if the exception is deemed not to apply, the benefits under this Plan will comply in form and operation with Code Sections 409A and 457.



U. S. Department of Labor
Employee Benefits Security Administration
Washington, DC 20210

This message confirms that the Department of Labor's (DOL's) Employee Benefits Security Administration (EBSA) has received the filing of your Top Hat Plan Statement. The confirmation code for your filing is 14053. When correcting errors to your filing, please use this code in your amended statement. This communication does not mean that DOL has made a determination that you are eligible to file under DOL regulation 29 CFR 2520.104-23.