

Information submitted via Top Hat Plan Statement Online Filing System to U. S.
Department of Labor under 29 CFR 2520.104-23

Date Completed: 4/4/2024 9:21 AM EST

Confirmation Number: 12995

Amended Confirmation Number:

Employer Information

Name: PWA Holdings, LLC
Address: 3805 Edwards Rd., Ste. 200
City: Cincinnati
State: OH
Zip Code: 45209

Plan Administrator Information

Name: PWA Holdings, LLC, Attn: James Daffin, Co-Founder
Address: 3805 Edwards Rd., Ste. 200
City: Cincinnati
State: OH
Zip Code: 45209
Phone: 5133663679
Email: jim.daffin@nm.com

Plan Information

Employer maintains the plan or plans below primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.

ID:1	Plan Name:	Retention Compensation Agreement	Number of Employees: 1
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Additional Information:



U. S. Department of Labor
Employee Benefits Security Administration
Washington, DC 20210

This message confirms that the Department of Labor's (DOL's) Employee Benefits Security Administration (EBSA) has received the filing of your Top Hat Plan Statement. The confirmation code for your filing is 12995. When correcting errors to your filing, please use this code in your amended statement. This communication does not mean that DOL has made a determination that you are eligible to file under DOL regulation 29 CFR 2520.104-23.