

Information submitted via Top Hat Plan Statement Online Filing System to U. S.
Department of Labor under 29 CFR 2520.104-23

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Confirmation Number: 12715
Amended Confirmation Number:

Employer Information

Name: Lockton
Address: 444 W 47th St #900
City: Kansas City
State: MO
Zip Code: 64112

Plan Administrator Information

Name: Will Osnowitz
Address: 444 W 47th St #900
City: Kansas City
State: MO
Zip Code: 64112
Phone: 4192609364
Email: WOsnowitz@lockton.com

Plan Information

Employer maintains the plan or plans below primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.

ID:1	Plan Name:	Global Appreciation Rights	Number of Employees: 10
ID:2	Plan Name:	Restricted Global Units	Number of Employees: 50

Additional Information:

Lockton has adopted new deferred compensation plans which require Top Hat filings.



U. S. Department of Labor
Employee Benefits Security Administration
Washington, DC 20210

This message confirms that the Department of Labor's (DOL's) Employee Benefits Security Administration (EBSA) has received the filing of your Top Hat Plan Statement. The confirmation code for your filing is 12715. When correcting errors to your filing, please use this code in your amended statement. This communication does not mean that DOL has made a determination that you are eligible to file under DOL regulation 29 CFR 2520.104-23.