

Information submitted via Top Hat Plan Statement Online Filing System to U. S.  
Department of Labor under 29 CFR 2520.104-23

Date Completed: 12/1/2023 10:54 AM EST

Confirmation Number: 12361

Amended Confirmation Number:

Employer Information

Name: East Daley Capital Advisors Inc.  
Address: 5660 Greenwood Plaza Blvd., Suite 116  
City: Greenwood Village  
State: CO  
Zip Code: 80111

Plan Administrator Information

Name: Plan Committee consisting of Board of Directors of East Daley Capital Advisors Inc.  
Address: 5660 Greenwood Plaza Blvd., Suite 116  
City: Greenwood Village  
State: CO  
Zip Code: 80111  
Phone: 3038626516  
Email: jsimpson@eastdaley.com

Plan Information

Employer maintains the plan or plans below primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.

ID:1	Plan Name:	East Daley Capital Advisors Inc. Change in Control Retention and Incentive Plan	Number of Employees: 5
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Additional Information:



U. S. Department of Labor  
Employee Benefits Security Administration  
Washington, DC 20210

This message confirms that the Department of Labor's (DOL's) Employee Benefits Security Administration (EBSA) has received the filing of your Top Hat Plan Statement. The confirmation code for your filing is 12361. When correcting errors to your filing, please use this code in your amended statement. This communication does not mean that DOL has made a determination that you are eligible to file under DOL regulation 29 CFR 2520.104-23.