

Information submitted via Top Hat Plan Statement Online Filing System to U. S.
Department of Labor under 29 CFR 2520.104-23

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Confirmation Number: 11964

Amended Confirmation Number:

Employer Information

Name: National Equity Fund, Inc
Address: 10 S Riverside Plaza, Suite 1700
City: Chicago
State: IL
Zip Code: 60606

Plan Administrator Information

Name: Jaclyn Jackson
Address: 10 S Riverside Plaza, Suite 1700
City: Chicago
State: IL
Zip Code: 60606
Phone: 7082187075
Email: jaclyn.jackson@nefinc.org

Plan Information

Employer maintains the plan or plans below primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.

| | | | |
|------|------------|-----------------|------------------------|
| ID:1 | Plan Name: | Matthew Reilein | Number of Employees: 1 |
| ID:2 | Plan Name: | Alex Denja | Number of Employees: 1 |
| ID:3 | Plan Name: | Jaclyn Jackson | Number of Employees: 1 |
| ID:4 | Plan Name: | Reena Bramblett | Number of Employees: 1 |
| ID:5 | Plan Name: | Peter Harrison | Number of Employees: 1 |
| ID:6 | Plan Name: | Mark Siranovic | Number of Employees: 1 |
| ID:7 | Plan Name: | Judy Schneider | Number of Employees: 1 |

Additional Information:



U. S. Department of Labor
Employee Benefits Security Administration
Washington, DC 20210

This message confirms that the Department of Labor's (DOL's) Employee Benefits Security Administration (EBSA) has received the filing of your Top Hat Plan Statement. The confirmation code for your filing is 11964. When correcting errors to your filing, please use this code in your amended statement. This communication does not mean that DOL has made a determination that you are eligible to file under DOL regulation 29 CFR 2520.104-23.