

Information submitted via Top Hat Plan Statement Online Filing System to U. S.
Department of Labor under 29 CFR 2520.104-23

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Confirmation Number: 11502

Amended Confirmation Number:

Employer Information

Name: STRATEGIC MANAGEMENT SOLUTIONS, LLC
Address: 6301 Indian School Rd NE STE 501
City: Albuquerque
State: NM
Zip Code: 87110

Plan Administrator Information

Name: Strategic Management Solutions, LLC
Address: 6301 Indian School Rd NE STE 501
City: Albuquerque
State: NM
Zip Code: 87110
Phone: 5059755201
Email: lee.bernstein@smsi.us

Plan Information

Employer maintains the plan or plans below primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.

ID:1	Plan Name:	Strategic Management Solutions, LLC Net Profit Arrangement (MN)	Number of Employees: 1
ID:2	Plan Name:	Strategic Management Solutions, LLC Net Profit Arrangement (DO)	Number of Employees: 1

Additional Information:



U. S. Department of Labor
Employee Benefits Security Administration
Washington, DC 20210

This message confirms that the Department of Labor's (DOL's) Employee Benefits Security Administration (EBSA) has received the filing of your Top Hat Plan Statement. The confirmation code for your filing is 11502. When correcting errors to your filing, please use this code in your amended statement. This communication does not mean that DOL has made a determination that you are eligible to file under DOL regulation 29 CFR 2520.104-23.