

Information submitted via Top Hat Plan Statement Online Filing System to U. S.  
Department of Labor under 29 CFR 2520.104-23

Date Completed: 12/23/2022 10:15 AM EST

Confirmation Number: 10880

Amended Confirmation Number:

Employer Information

Name: WSB & Associates, Inc.  
Address: 701 Xenia Avenue, Suite 300  
City: Minneapolis  
State: MN  
Zip Code: 55416

Plan Administrator Information

Name: WSB & Associates, Inc.  
Address: 701 Xenia Avenue, Suite 300  
City: Minneapolis  
State: MN  
Zip Code: 55416  
Phone:  
Email: taylor.bracewell@ogletree.com

Plan Information

Employer maintains the plan or plans below primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.

ID:1	Plan Name:	WSB & Associates, Inc. Phantom Equity Plan	Number of Employees: 2
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Additional Information:

This is the only top-hat program currently maintained by the Employer. The number of participants in the plan may change from time to time.



U. S. Department of Labor  
Employee Benefits Security Administration  
Washington, DC 20210

This message confirms that the Department of Labor's (DOL's) Employee Benefits Security Administration (EBSA) has received the filing of your Top Hat Plan Statement. The confirmation code for your filing is 10880. When correcting errors to your filing, please use this code in your amended statement. This communication does not mean that DOL has made a determination that you are eligible to file under DOL regulation 29 CFR 2520.104-23.