

Information submitted via Top Hat Plan Statement Online Filing System to U. S.  
Department of Labor under 29 CFR 2520.104-23

Date Completed: 11/15/2022 3:11 PM EST

Confirmation Number: 10658

Amended Confirmation Number:

Employer Information

Name: EFG INC.  
Address: 4200 Cantera Drive, Suite 221  
City: Warrenville  
State: IL  
Zip Code: 60555

Plan Administrator Information

Name: EFG INC.  
Address: 4200 Cantera Drive, Suite 221  
City: Warrenville  
State: IL  
Zip Code: 60555  
Phone:  
Email: Fernando.Ereneta@RaymondJames.com

Plan Information

Employer maintains the plan or plans below primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.

ID:1	Plan Name:	EFG, INC. KEY EMPLOYEE PHANTOM STOCK PLAN	Number of Employees: 1
------	------------	--	---------------------------

Additional Information:

EFG, INC. KEY EMPLOYEE PHANTOM STOCK PLAN



U. S. Department of Labor  
Employee Benefits Security Administration  
Washington, DC 20210

This message confirms that the Department of Labor's (DOL's) Employee Benefits Security Administration (EBSA) has received the filing of your Top Hat Plan Statement. The confirmation code for your filing is 10658. When correcting errors to your filing, please use this code in your amended statement. This communication does not mean that DOL has made a determination that you are eligible to file under DOL regulation 29 CFR 2520.104-23.