

Information submitted via Top Hat Plan Statement Online Filing System to U. S.
Department of Labor under 29 CFR 2520.104-23

Date Completed: 11/4/2022 1:40 PM EST

Confirmation Number: 10623

Amended Confirmation Number:

Employer Information

Name: United Capital Financial Advisers, LLC, d/b/a Goldman Sachs Personal Financial Management
Address: 4000 MacArthur Boulevard, Suite 1000
City: Newport Beach
State: CA
Zip Code: 92660

Plan Administrator Information

Name: Plan Administrator, GS PFM Retirement Plan for a Select Group of Advisors
Address: 4000 MacArthur Boulevard, Suite 1000
City: Newport Beach
State: CA
Zip Code: 92660
Phone:
Email: GS-PFM-Retirement-Plan@ny.email.gs.com

Plan Information

Employer maintains the plan or plans below primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.

ID:1	Plan Name:	Number of Employees: 5
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Additional Information:

The number of employees covered by the plan is five (although additional employees may be added in the future).



U. S. Department of Labor
Employee Benefits Security Administration
Washington, DC 20210

This message confirms that the Department of Labor's (DOL's) Employee Benefits Security Administration (EBSA) has received the filing of your Top Hat Plan Statement. The confirmation code for your filing is 10623. When correcting errors to your filing, please use this code in your amended statement. This communication does not mean that DOL has made a determination that you are eligible to file under DOL regulation 29 CFR 2520.104-23.